CONTINUING EDUCATION PLAN TEMPLATE

| REGISTRANT INFORMATION | | | | | | |
|---|----------|----------------|--|------------|----------------|------------------------------|
| Name and Designation: | | | | | User ID: | |
| Job Title: | | | | | | |
| Industry of Practice: | | | | | | |
| Area(s) of Practice (including any anticipated changes): | | | | | | |
| Dates that this CE Plan applies to: | | From: | | | To: | |
| Note: Please fill in the dates that corre the date the CE Plan was first created, date it was created). | | | | | | |
| | | | | | | |
| | RE' | VIEW OF PRE | EVIOUS YEAR'S | ACTIVIT | TES | |
| In reviewing your CE Plan for the previous year, did you complete all of your planned activities? Why or why not? | | | | | | |
| | | | | | _ | |
| | | PRACTIC | E RISK ASSESSM | 1ENT | | |
| By which method have you assessed your practice risks? | | _ | nd Geoscientists E k Assessment Too | | | Other risk assessment |
| If you have used another risk assessment, please briefly describe the method and outcomes. | | | | | | |
| ☐ I have assessed the risks of my risks where necessary. | practi | ce and will us | e continuing educ | ation op | portunities to | learn about and reduce those |
| * Note: See the "Appendix to the Cont | inuing i | Education Plan | Template – Praction | ce Risk A. | ssessment Too | ol" that follows this form. |

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| REVIEW OF LEA | RNING NEEDS | DE | VELOPMENT PLAN | |
|---|--|--|---|---|
| In what area of my practice do I need to improve my performance, skills, or knowledge? | What do I need to learn to achieve or maintain Competency in this area? | What activities do I need to obtain this learning? | How will I evaluate a successful outcome? | What is my deadline for meeting this outcome? |
| Area 1: | | | | |
| Area 2: | | | | |
| Area 3: | | | | |
| Based on the above develo at least three activities you upcoming Three-Year Rolli | ı plan to complete in the | Activity 1: | | |
| | | Activity 2: | | |
| | | Activity 3: | | |
| | | Activity 4: | | |
| List at least one Ethical Lea you plan to pursue this yea | arning activity or topic ar. | | | |

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| F | REVIEWER INFORMATION (IF APPLICABLE): | | | |
|--|---------------------------------------|--|--|--|
| Note: All registrants are highly encouraged, but not required, to review their CE Plan with another person, such as a peer or manager. Please refer to Section 3.3.1 for more information. | | | | |
| Name of Reviewer: | | | | |
| Position/Relationship: (e.g. Manager, Supervisor, Mentor, Peer) | | | | |

| CE PLAN DECLARATION | | | | | | |
|---|--|-------|--|--|--|--|
| I, the registrant who created this CE Plan, hereby declare that the information presented above is true and complete to the best of my knowledge. | | | | | | |
| Signature: | | Date: | | | | |

Note: See the "Appendix to the Continuing Education Plan Template – Practice Risk Assessment Tool" that follows this form.

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APPENDIX TO THE CONTINUING EDUCATION PLAN TEMPLATE PRACTICE RISK ASSESSMENT TOOL

Using the risk assessment matrix and questions below, rate the risk of your practice for both likelihood and consequence. The risk of your practice is defined here as a function of the likelihood of failure (i.e., how likely is a failure in my practice and what factors contribute to that likelihood) and the consequences of failure.

The questions will help you evaluate the risk of your practice by helping you to think about the elements of your practice that decrease the likelihood of failure as well as the consequence(s) if failure were to occur.

The questions acknowledge two types of risk factors:

- Some risk factors may be inherent to your practice; the risk level for these may be difficult to change without changing the nature of your role. It can still be useful to identify these risk factors and think about how they might change with changes in your roles and responsibilities.
- Other risk factors may be directly affected by the amount and type of CE learning you choose to undertake. When choosing your CE activities, think about what activities could help you reduce the risk of your practice in these areas.

Note that this tool is meant to aid with reflecting on practice risks and does not attempt to be a comprehensive or definitive assessment of practice risks. Registrants are encouraged to adapt this tool as necessary to better fit their particular circumstances. For example, the questions may not include all risk factors for your specific area(s) of practice; where appropriate, you can include any other factors affecting your likelihood or consequence of failure.

In addition, the assessment uses a simple average across the scores for each risk factor in the questionnaire. If you feel that some risk factors are more important than others, you can consider giving more weight to these factors in assessing your overall rating for likelihood or consequence of failure.

Table B - 1: Risk Assessment Matrix

| FAILURE | Highly Likely (5) | Moderate | High | High | Very High | Very High | |
|----------|---------------------------|-----------------|------------|---------------|-------------|------------------|--|
| | Likely (4) | Moderate | Moderate | High | High | Very High | |
| OF FAI | Possible (3) | Low | Moderate | Moderate | High | High | |
| | Unlikely (2) | Low | Moderate | Moderate | Moderate | High | |
| Rare (1) | Low | Low | Low | Moderate | Moderate | | |
| LIK | | Very Low (1) | Low (2) | Medium (3) | High (4) | Very High (5) | |
| | CONSEQUENCE(S) OF FAILURE | | | | | | |

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LIKELIHOOD OF FAILURE: Answer as many of the following questions that are relevant to you about factors that may affect the likelihood of failure in your practice, then select the likelihood score based on the average scoring of your responses.

| RISK FACTORS RELATED TO A REGISTRANT'S ROLE | | | | | SCORE | |
|---|--|---------------|----------------------------------|--------------|---------------------------|--|
| 1. What is your level of experience? | | | | | | |
| | (1) Senior | (2) | (3) Intermediate | (4) | (5) Junior | |
| 2. | How much supervision | n do you rec | eive in your role? | | | |
| | (1) Complete | (2) | (3) Partial | (4) | (5) None | |
| 3. | How frequently do you project? | ı take part i | n lessons-learned exercises fo | ollowing th | ne completion of a | |
| | (1) Frequently | (2) | (3) Occasionally | (4) | (5) Never | |
| 4. | How much access to e | xpertise in | your area(s) of practice do yo | u have? | | |
| (| 1) Regular/frequent | (2) | (3) Occasional | (4) | (5) No access | |
| | R | RISK FACTOR | RS INFLUENCED BY ETHICAL L | EARNING. | | |
| 5. | How familiar are you | with the Cod | de of Ethics and your obligation | ons under | it? | |
| | (1) Very familiar | (2) | (3) Somewhat familiar | (4) | (5) Not at all familiar | |
| | RIS | SK FACTORS | SINFLUENCED BY TECHNICAL | LEARNING | ; | |
| 6. | How familiar are you varea(s) of practice? | with current | codes, standards, and regula | itions in yo | our technical | |
| | (1) Very familiar | (2) | (3) Somewhat familiar | (4) | (5) Not at all familiar | |
| 7. | What is your level of k | nowledge a | nd skills in the technical aspe | ects of you | r practice? | |
| (| 1) High proficiency | (2) | (3) Medium proficiency | (4) | (5) Low proficiency | |
| RISK FACTORS INFLUENCED BY REGULATORY LEARNING | | | | | | |
| 8. How familiar are you with the regulations and standards governing you as a registrant of Engineers and Geoscientists BC (e.g., <i>Professional Governance Act,</i> regulations, Bylaws, standards of competence, quality management requirements, and professional practice guidelines)? | | | | | | |
| | (1) Very familiar | (2) | (3) Somewhat familiar | (4) | (5) Not at all familiar | |
| RISK FACTORS INFLUENCED BY COMMUNICATIONS AND LEADERSHIP LEARNING | | | | | | |
| 9. What is the proficiency of your verbal and oral communication skills in relation to the needs of your role? | | | | | | |
| (| 1) High proficiency | (2) | (3) Medium proficiency | (4) | (5) Low proficiency | |
| | | | | A: Tota | l Likelihood Score (1-45) | |
| B: No. of Questions Answered (1-9) | | | | | | |
| | | | | Averag | e Likelihood Score (A/B) | |
| | Rounc | anv decim | al to the nearest whole num | ber and u | se on Table B-1. | |

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CONSEQUENCE(S) OF FAILURE: Answer as many of the following questions that are relevant to you about the consequence of errors in your practice, then determine the consequence score based on the average scoring of your responses.

| ' | | | | | SCORE |
|------------------------------------|--------------|--|---------------|-----------------------------------|-------|
| 10. How many people wou | uld be direc | ctly affected by a failure in yo | our practic | e? | |
| (1) None | (2) | (3) Some | (4) | (5) Many | |
| 11. How serious would the | e impacts b | pe on those people from a fai | lure in you | r practice? | |
| (1) Not serious | (2) | (3) Moderately serious | (4) | (5) Very serious | |
| 12. How serious/how larg practice? | e would the | e damage to the environmen | t be if there | e was a failure in your | |
| (1) Not serious/ no damage | (2) | (3) Moderately serious/ some damage | (4) | (5) Very serious/ major damage | |
| 13. How serious/how larg | e would the | e damage to property be if th | iere was a f | ailure in your practice? | |
| (1) Not serious/no damage | (2) | (3) Moderately serious/ some damage | (4) | (5) Very serious/ major damage | |
| C: Total Consequence Score (1-20) | | | | | |
| D: No. of Questions Answered (1-4) | | | | | |
| Average Consequence Score (C/D) | | | | | |
| Round | l any decin | nal to the nearest whole nu | mber and | use on Table B-1. | |

USE THE RISK MATRIX IN <u>TABLE B - 1</u> **ABOVE:** Based on these questions and any other practice-specific risks that you may have identified, what is your risk rating?

| Low | Moderate | High | Very High | | | | |
|---|----------|------|-----------|--|--|--|--|
| ADD ANY COMMENTS THAT SUPPORT YOUR RATING: For example, you may want to list additional factors not captured in these questions or explain the reasoning behind your scoring. | | | | | | | |
| | | | | | | | |
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